

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: The Johns Hopkins University and SurgiVision, Inc.

Application No./Patent No.: 6,898,454

Filed/Issue Date: May 24, 2005

Titled: **SYSTEMS AND METHODS FOR EVALUATING THE URETHRA AND THE PERIURETHRAL TISSUES**

SurgiVision, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest in;
2. ☒ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above by virtue of either:
- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: Parag Karmakar To: Surgi-Vision, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 015616, Frame 0888, or for which a copy thereof is attached.
2. From: Surgi-Vision, Inc. To: SurgiVision, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Bruce E. Black
Signature
Bruce E. Black
Printed or Typed Name

June 24, 2009
Date
Attorney for Assignee
Title

Delaware

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The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "SURGI-VISION, INC.", CHANGING ITS NAME FROM "SURGI-VISION, INC." TO "SURGIVISION, INC.", FILED IN THIS OFFICE ON THE TWELFTH DAY OF NOVEMBER, A.D. 2008, AT 11:30 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



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You may verify this certificate online
at corp.delaware.gov/authver.shtml

Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 6986261

DATE: 11-24-08

State of Delaware
Secretary of State
Division of Corporations
Delivered 11:30 AM 11/12/2008
FILED 11:30 AM 11/12/2008
SRV 081112571 - 2870717 FILE

**CERTIFICATE OF AMENDMENT
TO
AMENDED AND RESTATED CERTIFICATE OF INCORPORATION
OF
SURGI-VISION, INC.**

(Pursuant to Section 242 of the General
Corporation Law of the State of Delaware)

SURGI-VISION, INC., a corporation organized and existing under and by virtue of the
General Corporation Law of the State of Delaware (the "DGCL"), DOES HEREBY CERTIFY:

FIRST: That the name of the corporation is Surgi-Vision, Inc.

SECOND: That the Amended and Restated Certificate of Incorporation of Surgi-Vision,
Inc. is amended by deleting Article 1 thereof and substituting the following in its place:

**ARTICLE I
NAME**

The name of the corporation (hereinafter called the "Corporation") is SurgiVision, Inc.

THIRD: That this amendment to the Amended and Restated Certificate of Incorporation
of Surgi-Vision, Inc. has been duly adopted in accordance with Section 242 of the DGCL.

IN WITNESS WHEREOF, the Corporation has caused this Certificate of Amendment
to be signed by its duly authorized officer on this 11th day of November, 2008.

SURGI-VISION, INC.

By: 

Name: Kimble Jenkins

Title: President & CEO